Introduction

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The Department for Digital, Culture, Media and Sport (DCMS) is undertaking a Tailored Review of the 'Historic Buildings and Monuments Commission for England' (more commonly referred to as 'Historic England').

Tailored Reviews are central to the Government's <u>Public Bodies Transformation Programme</u> <u>2016 - 2020</u>. The purpose is to challenge and give assurance on the continuing need for individual organisations in terms of both function and form. Every public body is required to undergo a Tailored Review once during the lifetime of a Parliament.

DCMS seek the views of individuals that are interested or work in the heritage sector across the United Kingdom including those that receive advice from Historic England and those who apply for grant funding from them.

If you have any queries about this Review, please contact DCMS at albteam@culture.gov.uk with the subject line clearly marked; **'Historic England: Tailored Review Consultation Response'**.

Historic England

Historic England was established as the 'Historic Buildings and Monuments Commission for England' on 1 April 1984 by the National Heritage Act 1983. In April 2015, the organisation was split into two bodies: an Arm's Length Body (ALB) operating under the name 'Historic England' and a charity, 'The English Heritage Trust' (trading as 'English Heritage').

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The aim of Historic England is to "protect, champion and save the places that define who we are and where we have come from as a nation". The functions are wide ranging and include managing the National Heritage List for England (which lists and provides legal protections for a wide variety of buildings and sites), conducting and sharing expert conservation research, promoting public enjoyment and understanding and providing advice on planning applications and policy to a variety of government departments and local authorities. Historic England also act as the sole member of 'The English Heritage Trust' and appoint trustees to its board.

English Heritage manage the National Heritage Collection which is comprised of more than 400 historic sites and monuments. These are owned or protected under licence (from Historic England which runs until 2023) on behalf of the nation. English Heritage care for these places, promote their significance and open them to the public. English Heritage also operate the London Blue Plaque scheme.

Completing the survey

The survey comprises seven sections and will take approximately 15 - 20 minutes to complete. The survey can be partially completed and returned to at a later date.

The consultation covers a wide range of elements of the activities undertaken by Historic England ranging from its structure and governance to the experience of grant recipients. The aim of the consultation is to capture your experience of Historic England. Please feel free to elaborate on your responses in the free text boxes as appropriate. Please note that once you have reached the end of the survey, you can still amend your answers until the closing date. The survey is submitted automatically, including any partially completed responses.

The survey covers the following areas:

- 1. Form and Remit.
- 2. Functions and Responsibilities.
- 3. Preservation of the wider Historic Environment.
- 4. Leadership and Strategy.
- 5. Effectiveness.
- 6. Governance, Diversity, Location and Transparency.
- 7. Historic England as a Grant Giving Body.

The closing date is **12 noon Thursday 9th May 2019**. All partially completed responses will automatically be submitted on the closing date.

Responses received after the closing date will not be considered as part of the consultation.

Please email albteam@culture.gov.uk if you require a Welsh language or accessible version of the survey.

Privacy Notice

The following is to explain your rights and give you the information you are entitled to under the Data Protection Act 2018 and the General Data Protection Regulation ("the Data Protection Legislation"). This notice only refers to your personal data (e.g. your name, email address, and anything that could be used to identify you personally) not the content of your response to the survey.

1. The identity of the data controller and contact details of our Data Protection Officer

The Department for Digital, Culture, Media and Sport ("DCMS") is the data controller. The Data Protection Officer can be contacted at dcmsdataprotection@culture.gov.uk. You can find out more here.

2. Why we are collecting your personal data

We only collect personal contact details from you if you are providing answers on behalf of an organisation. In these circumstances you are free to continue with the survey without providing any contact details. You are under no obligation to provide us with your contact details. If you choose to provide personal data, this is being collected for the sole purpose of contacting you regarding your response.

3. Our legal basis for processing your personal data (if applicable)

The Data Protection Legislation states that, as a government department, the department may process personal data as necessary for the effective performance of a task carried out in the public interest. i.e. a consultation.

4. Who we share your personal data with

Excerpts from responses may be published after the survey closes. If we do so, we will ensure that neither you nor the organisation you represent are identifiable, and any responses used to illustrate findings will be anonymised.

Qualtrics is the online survey platform used to conduct this survey. They will store the data in accordance with DCMS instructions and their privacy policy can be found here.

If you want the information that you provide to be treated as confidential, please be aware that, under the FOIA, there is statutory Code of Practice with which public authorities must comply and which deals, amongst other things, with obligations of confidence. In view of this, it would be helpful if you could explain to us why you regard the information you have provided as confidential. If we receive a request for disclosure of the information, we will take full account of your explanation, but we cannot give an assurance that confidentiality can be maintained in all circumstances. An automatic confidentiality disclaimer generated by your IT system will not, of itself, be regarded as binding on the Department.

5. For how long we will keep your personal data, or criteria used to determine the retention period

Your personal data will be held for two years after the survey is closed. This is so that the department is able to contact you regarding the result of the survey following analysis of the responses.

6. Your rights, e.g. access, rectification, erasure

The data we are collecting is your personal data, and you have considerable say over what happens to it. You have the right: to see what data we have about you to ask us to stop using your data, but keep it on record to have all or some of your data deleted or corrected, in certain circumstances. to lodge a complaint with the independent Information Commissioner (ICO) if you think we are not handling your data fairly or in accordance with the law. You can contact the ICO at https://ico.org.uk/, or telephone 0303 123 1113. ICO, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF.

7. Your personal data will not be sent overseas

- 8. Your personal data will not be used for any automated decision making
- 9. Your personal data will be stored in a secure government IT system

Please tick to confirm that you have read and understood our privacy statement outlined on the previous page.

O I have read and understood the privacy statement

Who are you?

Are you responding as an individual or as part of an organisation?

- O Individual
- O Organisation

Which type(s) of organisation do you represent? Please select all that apply.

- Business
- Charity
- Community or voluntary groups
- Local Government / Authorities
- Owners of Historic Sites
- Heritage visitor attractions
- Property developers
- Grant recipients
- Architects and professional consultants
- Academics
- Cultural Organisations
- Other heritage sector
- Other (please specify)

Which sector(s) do you represent? You are able to select more than one box as appropriate.

- Archaeology
- Church or faith-based heritage
- Cultures and memories (intangible heritage)
- Heritage buildings or monuments
- Industrial, maritime and transport heritage

Landscape	and	natural	heritage

- Libraries and archives
- Museums
- Parks
- None of these sectors
- Other (please specify)

DCMS may wish to contact you if there are questions about your survey responses. Do you consent to be contacted?

- O Yes
- O No

If you have consented to being contacted please provide the following information:

Name of organisation

Name of individual

Job Role

Email address

Telephone	number/mobile	(with	area	code	if
applicable)	1				

		_
		_
		_

Please select all boxes that apply. Do you:

participate in heritage as a professional e.g. a curator, archaeologist, researcher, archivist etc?

participate in heritage in your leisure/personal time e.g. member of a heritage organisation or charity, attend heritage events and visit places of interest or volunteer with heritage related organisations or groups?



Which one of the following geographical areas do you consider to be your primary location?

- Midlands
- East of England
- London and South East
- North East and Yorkshire
- South West

North West

None of the above

Section 2: Form and Remit

Do you agree that the following functions are required (as set out in the National Heritage Act of 1983 and amended in 2002)?

(a) to secure the preservation of ancient monuments and historic buildings.

0	Yes
0	Partially (please explain)
0	No (please explain)

O Don't know

(b) to promote the preservation and enhancement of the character and appearance of Conservation Areas.

Ο	Yes	
0	Partially (please explain)	
0	No (please explain)	
\mathbf{O}	Don't know	

(c) to promote the public's enjoyment and advance their knowledge of ancient monuments and historic buildings.

0	Yes	
0	Partially (please explain)	
0	No (please explain)	

O Don't know

In your view, what type of organisation is best placed to deliver the above functions?

A Non-Departmental Public Body

O Central government

https://dcms.eu.qualtrics.com/WRQualtricsControlPanel/Ajax.php?action=GetSurveyPrintPreview

- O Local government
- O HE merged with a similar Non-Departmental Public Body
- O Charitable organisation
- O Private sector
- O Other (please explain)
- O Don't know

Historic England has guardianship responsibility for the National Heritage Collection (NHC). This is a collection that comprises more than 400 historic sites and monuments that are under the nation's ownership or protection. Historic England is also the sole member of the charity - The English Heritage Trust (trading as 'English Heritage'). English Heritage manage and protect the NHC monitored by Historic England.

Should Historic England continue to be the sole member of the English Heritage Trust?

0	Yes
0	Partially (please explain)
0	No (please explain)

O Don't know

Are the Historic England governance arrangements in relation to English Heritage effective (fit for purpose and compliant with principles of good governance)?

0	Yes
0	Partially (please explain)
0	No (please explain)

O Don't know

English Heritage manage the NHC. In your view, how effective is Historic England in ensuring that this is done effectively?



Should Historic	England co	ontinue to mo	nitor the man	agement of the	e NHC underta	ken by E	nglish
Heritage?	-			-		-	-

0	Yes	
0	Partially (please explain)	
0	No (please explain)	
Ο	Don't know	

Do Historic England provide appropriate assurance of the management of the NHC undertaken by English Heritage?

0	Yes
0	Partially (please explain)
0	No (please explain)
\mathbf{O}	Don't know

Section 3: Functions and Responsibilities

The duties, powers and functions of Historic England are defined by the National Heritage Act 1983 (as amended by the National Heritage Act 2002). In summary, the overarching statutory purpose is to:

(a) secure the preservation of ancient monuments and historic buildings in England (including UK territorial waters adjacent to England);

(b) promote the preservation and enhancement of the character and appearance of conservation areas situated in England; and

(c) promote the public's enjoyment, and advance their knowledge, of ancient monuments and historic buildings in England (including UK territorial waters adjacent to England).

Historic England undertake specific activities to support the statutory duties, powers and functions. The section below seeks views on each of these specific activities.

(I) Advise DCMS on the National Heritage List (Listing of Historic Buildings).

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	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	0
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	0
ls it effectively and efficiently delivered by HE?	0	Ο	0	0

(II) Advise DCMS on the scheduling of monuments, the designation of Historic Wrecks, the designation of Historic Battlefields, the designation of Historic Parks.

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	0
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	Ο
ls it effectively and efficiently delivered by HE?	0	Ο	0	Ο

(III) Management of the National Heritage List.

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	0
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	0

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	Yes	No	Partially	Don't know
ls it effectively and efficiently delivered by HE?	0	Ο	0	Ο

(IV) Public Engagement (campaigns, exhibitions, events) to promote the historic environment.

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	Ο
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	0
ls it effectively and efficiently delivered by HE?	0	Ο	0	0

(V) Promoting diverse and inclusive heritage.

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	0
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	0
ls it effectively and efficiently delivered by HE?	0	Ο	0	Ο

(VI) Provision of planning advice to Local Authorities and Developers.

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	0

02/04/2019	Qualtrics Survey Software			
	Yes	No	Partially	Don't know
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	0	0	Ο
ls it effectively and efficiently delivered by HE?	0	Ο	0	Ο

(VII) Provision of advice on Placemaking.

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	0
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	0
ls it effectively and efficiently delivered by HE?	0	Ο	0	0

(VIII) Management of a Repair Grants Scheme for heritage at risk.

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	0
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	0
ls it effectively and efficiently delivered by HE?	0	Ο	Ο	0

(IX) Management of Placemaking Grants Scheme for conservation areas.

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	Ο
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	0
ls it effectively and efficiently delivered by HE?	0	Ο	0	0

(X) Management of a Capacity Building Grants Scheme.

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	0
ls this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	Ο
ls it effectively and efficiently delivered by HE?	0	Ο	0	Ο

(XI) Management of a Research Grants Scheme.

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	0
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	Ο

02/04/2019		Qualtrics Survey Software		
	Yes	No	Partially	Don't know
Is it effectively and efficiently delivered by HE?	0	Ο	0	Ο
(XII) Historic England resear	ch functions.			
	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	Ο
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	0
ls it effectively and efficiently delivered by HE?	0	Ο	0	Ο

(XIII) Management of (including maintaining public access to) an archive of photographs and documents relating to historic buildings and sites

	Yes	No	Partially	Don't know
Does this activity link to the overall statutory purpose?	0	Ο	0	Ο
Is this activity still required by government and the public?	0	Ο	0	Ο
Could it be delivered by an alternate organisation?	0	Ο	0	0
ls it effectively and efficiently delivered by HE?	0	Ο	0	0

Please use this space to provide comments if you consider any of the activities to be:

- no longer required.
- outwith the scope of the overall statutory purpose?
- deliverable by an alternative organisation?

Please refer to activities by number as outlined above.

Are there further functions or powers that Historic England should be given?

- O Yes (please explain)
- O No
- O Don't know

Section 4: Preservation of the wider Historic Environment

Historic England play a key role in the preservation of the wider Historic Environment.

How effective is the preservation of the wider Historic Environment by Historic England?

- O Extremely effective
- O Moderately effective
- O Not effective at all
- O Don't know

Are you aware that Historic England use a Heritage at Risk Register to monitor the state of the Historic Environment?

- O Yes
- O No

Is the Heritage at Risk Register fit for purpose?

- Yes to a high degree
- Yes somewhat
- O No
- O Don't know

Historic England acts as 'Last Resort' owner for historic buildings of profound national importance which are at serious risk (with no suitable alternative owner). Historic England has, in specific circumstances, assumed ownership of such sites, secured funding for restoration and added to the National Heritage Collection or sourced suitable alternative owners.

Is this Last Resort role appropriate for Historic England?

Ο	Yes (please explain)	

 Partially (please explain) 	
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- 🔿 No
- O Don't know

The Historic England Strategy (2018-21) can be found at historicengland.org.uk The aims are listed below:

- Aim 1. Championing.
- Aim 2. Protecting through the listing and planning system.
- Aim 3. Match funding and financial incentives to protect places.
- Aim 4. Strengthening national capacity and resilience of heritage sector.
- Aim 5. Oversight of the National Heritage Collection cared for by English Heritage.
- Aim 6. Improving financial and organisational resilience and accountability.

Is the Historic England strategy appropriate for its functions?

0	Yes
0	No (please explain)
0	Partially (please explain)

O Don't know

Does the Historic England strategy align with the statutory purpose?

Ο	Yes	
0	No	

- O Partially
- O Don't know

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Section 5: Leadership and Strategy

Is Historic England led effectively?

O Yes

O No (please explain)

O Don't know

The Historic England Key Performance Indicators are:

- KPI 01 Number of visits to the HE website.
- KPI 02 Advice and guidance downloads from the website.
- KPI 03 Research publications downloads from the website.
- KPI 04 Number of page views of the digital Archive.
- KPI 05 Number of page views of the National Heritage List for England.
- KPI 06 Media coverage (national and local print and broadcast items).
- KPI 07 Number of followers on social media channels.
- KPI 08 Number of subscribers to the Historic England online newsletter.
- KPI 09 Number of Heritage Schools training participants (teachers and other educators).
- KPI 10 RepTrak[™] survey score.
- KPI 11 Changes to the National Heritage List for England (additions, amendments and deletions).
- KPI 12 Historic sites newly identified and added to Historic Environment Records.
- KPI 13 Total cost of grants distributed.
- KPI 14 Number of planning pre-application proposals (for which advice given).
- KPI 15 Number of planning cases (for which advice given).
- KPI 16 Sector training opportunities delivered.
- KPI 17 Number of volunteers engaged.
- KPI 18 Adult visits to heritage sites: percentage of Black, Asian and minority ethnic visitors, percentage of lower socio-economic visitors, percentage of visits by people with disabilities or long-term illness.

Are these indicators:

reflective of your priorities or those of your organisation?

0	Yes
0	Partially (please explain)
0	No (please explain)
0	Don't know
con	nprehensive?
0	Yes
0	Partially (please explain)
0	No (please explain)
0	Don't know
me	asurable?
0	Yes
0	Partially (please explain)
0	No (please explain)
0	Don't know

How well does Historic England measure the impact of their work in the following areas?

Championing the historic environment

- O Extremely well
- O Moderately well
- O Not well at all
- O Don't know

Protecting the historic environment

- O Extremely well
- O Moderately well
- O Not well at all
- O Don't know

Section 6: Effectiveness

How effective is Historic England in achieving their functions set out in (a), (b), and (c)?

- (a) Secure the preservation of ancient monuments and historic buildings.
- O Extremely effective
- O Very effective
- O Moderately effective
- Slightly effective (please explain)
- O Not effective at all (please explain)
- O Don't know

(b) Promote the preservation and enhancement of the character and appearance of Conservation Areas.

Ο	Extremely effective
0	Very effective
0	Moderately effective
0	Slightly effective (please explain)
0	Not effective at all (please explain)
_	

O Don't know

(c) Promote the public's enjoyment of, and advance their knowledge of, ancient monuments and historic buildings.

- O Extremely effective
- O Very effective
- Moderately effective
- O Slightly effective (please explain)

O Not effective at all (please explain)

Historic England offer a suite of chargeable services (Enhanced Advisory Services). These Services are promoted to support developers in mitigating risks during the planning process.; and are offered in addition to HE's non-chargeable planning and listing services.

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Are the Historic England Enhanced Advisory Services:

Effective for their customers?
O Yes
O No (please explain)
O Don't know
Value for Money?
O Yes
O No (please explain)
O Don't know
Appropriately priced?
O Yes
O No (please explain)
O Don't know
Beneficial to achieving the aims of Historic England?
O Yes
 Yes No (please explain)

Have you procured Historic England Enhanced Advisory Services in the last three years?

O Yes

O No

Are there additional services Historic England could undertake to generate income?

Ο	Yes (please explain)
0	No
0	Don't know

Historic England works with a number of partners to deliver its aims as listed in its 2017/18 Annual Report and Accounts. For example, Historic England work with organisations from across the heritage sector, local authorities, UK Government Departments, Devolved Government Administrations, Higher Education Institutes, developers, owners of historic sites, community groups and wider cultural organisations.

How well does Historic England work with partners across the heritage and cultural sectors?

- O Extremely well
- Moderately well
- O Not well at all (please explain)
- O Don't know

Section 7: Governance, Diversity, Location and Transparency

Do Historic England demonstrate the following attributes?

	Yes	Partially	No	Don't know
Skill	Ο	Ο	0	Ο
Experience	Ο	0	0	Ο
Knowledge	0	0	0	0

Are Historic England: (Please select all that apply).

- Open
- Transparent
- Accountable
- Responsible

- None of the above (please explain)
- Don't know

Do Historic England promote diversity in their programmes (outreach, funding, engagement)?

- YesNo (please explain)
- O Don't know

Section 8: Historic England as a Grant Giving Body

Have you as an individual or organisation applied for funding from Historic England in the last three years?

- O Yes
- O No

How much support did Historic England provide during the application process?

- O More than really needed/wanted: could have done just as well with less
- O More than expected, but needed/appreciated the extra help
- Just the right amount of help
- A bit less than needed/wanted: would have appreciated more help
- A lot less help than needed/wanted: negative impact on the application
- O Don't know
- O Not applicable

In your experience, is the application process for Historic England funding (including the resource required to submit an application) proportionate to the grant being requested?

- O Yes
- O No (please explain)
- O Don't know
- O Not applicable

How do you view the time it took for the application for the application to be processed and a funding decision to be made?

- O It's very quick
- O It's about right for the type and amount of grant
- O It's unpredictable or varies depending on the type and amount of grant
- O It's very slow
- O Don't know

Were you successful in receiving funding from HE in the last 3 years?

- O Yes
- O No

Which of the following types of funding have you received? Please select all that apply.

- Repair Grants
- Placemaking Grants
- Capacity Building Grants
- Research Grants
- Other (please specify)

What was the size of the grant(s) you applied for?

- Up to but not including £10k
- **£10k** up to but not including £100k
- f 100k up to but not including £2m
- £2m or over
- Other (please specify)

In your experience, how well does Historic England monitor the use of grants?

- Extremely well
 Moderately well
- O Not well at all (please explain)
- O Don't know

In your experience, how well does Historic England evaluate outputs/outcomes once funded activity has been completed?

- O Extremely well
- O Moderately well
- O Not well at all (please explain)
- O Don't know

If you have been unsuccessful in an application for Historic England funding, were you provided with adequate, constructive feedback?

- O Yes
- O No (please explain)
- O Don't know

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